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FORM LM-2 LABOR ORGANIZATION ANNUAL REPORT

U.S. Department of Labor
Office of Labor-Management Standards
Washington, DC 20210

MUST BE USED BY LABOR ORGANIZATIONS WITH \$250,000 OR MORE IN TOTAL ANNUAL RECEIPTS AND LABOR ORGANIZATIONS IN TRUSTEESHIP

Form Approved
Office of Management and Budget
No. 1245-0003
Expires: 10-31-2013

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 439 or 440.

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.			
For Official Use Only	1. FILE NUMBER 002-713	2. PERIOD COVERED From 10/01/2011 Through 09/30/2012	3. (a) AMENDED - Is this an amended report: No (b) HARDSHIP - Filed under the hardship procedures: No (c) TERMINAL - This is a terminal report: No
4. AFFILIATION OR ORGANIZATION NAME SHEET METAL WORKERS AFL-CIO		8. MAILING ADDRESS (Type or print in capital letters)	
5. DESIGNATION (Local, Lodge, etc.) LOCAL UNION		First Name FESTUS	
6. DESIGNATION NBR 17		Last Name JOYCE	
7. UNIT NAME (if any)		P.O Box - Building and Room Number	
9. Are your organization's records kept at its mailing address? Yes		Number and Street 1157 ADAMS STREET	
		City DORCHESTER	
		State MA	
		ZIP Code + 4 021245788	

Each of the undersigned, duly authorized officers of the above labor organization, declares, under penalty of perjury and other applicable penalties of law, that all of the information submitted in this report (including information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned individual's knowledge and belief, true, correct and complete (See Section V on penalties in the instructions.)

26. SIGNED: jonathan e marks PRESIDENT 27. SIGNED: festus w joyce TREASURER
Date: Dec 28, 2012 Contact Info: 617-296-1680 Date: Dec 28, 2012 Contact Info: 617-296-1680

Form LM-2 (Revised 2010)

ITEMS 10 THROUGH 21

FILE NUMBER: 002-713

10. During the reporting period did the labor organization create or participate in the administration of a trust or a fund or organization, as defined in the instructions, which provides benefits for members or beneficiaries? **Yes**

11(a). During the reporting period did the labor organization have a political action committee (PAC) fund? **Yes**

11(b). During the reporting period did the labor organization have a subsidiary organization as defined in Section X of these Instructions? **No**

12. During the reporting period did the labor organization have an audit or review of its books and records by an outside accountant or by a parent body auditor/representative? **Yes**

13. During the reporting period did the labor organization discover any loss or shortage of funds or other assets? (Answer "Yes" even if there has been repayment or recovery.) **No**

14. What is the maximum amount recoverable under the labor organization's fidelity bond for a loss caused by any officer, employee or agent of the labor organization who handled union funds? **\$2,000,000**

15. During the reporting period did the labor organization acquire or dispose of any assets in a manner other than purchase or sale? **Yes**

16. Were any of the labor organization's assets pledged as security or encumbered in any way at the end of the reporting period? **No**

17. Did the labor organization have any contingent liabilities at the end of the reporting period? **No**

18. During the reporting period did the labor organization have any changes in its constitution or bylaws, other than rates of dues and fees, or in practices/procedures listed in the instructions? **No**

19. What is the date of the labor organization's next regular election of officers? **06/2013**

20. How many members did the labor organization have at the end of the reporting period? **2,539**

21. What are the labor organization's rates of dues and fees?

Rates of Dues and Fees				
Dues/Fees	Amount	Unit	Minimum	Maximum
(a) Regular Dues/Fees	35.50	per MONTH	13.00	35.50
(b) Working Dues/Fees	2.22	per HOUR	.10	2.22
(c) Initiation Fees	500	per ONE TIME	50	500
(d) Transfer Fees		per		
(e) Work Permits		per		

STATEMENT A - ASSETS AND LIABILITIES

FILE NUMBER: 002-713

ASSETS

ASSETS	Schedule Number	Start of Reporting Period (A)	End of Reporting Period (B)
22. Cash		\$1,416,603	\$985,872
23. Accounts Receivable	1	\$0	\$0
24. Loans Receivable	2	\$0	\$0
25. U.S. Treasury Securities		\$0	\$0
26. Investments	5	\$4,408,675	\$3,953,999
27. Fixed Assets	6	\$3,365,972	\$3,372,950
28. Other Assets	7	\$7,278	\$7,732
29. TOTAL ASSETS		\$9,198,528	\$8,320,553

LIABILITIES

LIABILITIES	Schedule Number	Start of Reporting Period (A)	End of Reporting Period (B)
30. Accounts Payable	8	\$0	\$0
31. Loans Payable	9	\$484,528	\$95,573
32. Mortgages Payable		\$0	\$0
33. Other Liabilities	10	\$1,207	\$7,626
34. TOTAL LIABILITIES		\$485,735	\$103,199

35. NET ASSETS		\$8,712,793	\$8,217,354
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STATEMENT B - RECEIPTS AND DISBURSEMENTS

FILE NUMBER: 002-713

CASH RECEIPTS		SCH	AMOUNT	CASH DISBURSEMENTS		SCH	AMOUNT
36. Dues and Agency Fees			\$741,495	50. Representational Activities	15		\$387,435
37. Per Capita Tax			\$0	51. Political Activities and Lobbying	16		\$35,784
38. Fees, Fines, Assessments, Work Permits			\$3,180,017	52. Contributions, Gifts, and Grants	17		\$142,431
39. Sale of Supplies			\$0	53. General Overhead	18		\$1,088,719
40. Interest			\$38,001	54. Union Administration	19		\$757,312
41. Dividends			\$105,327	55. Benefits	20		\$1,037,905
42. Rents			\$0	56. Per Capita Tax			\$729,918
43. Sale of Investments and Fixed Assets	3		\$654,851	57. Strike Benefits			\$404,463
44. Loans Obtained	9		\$106,839	58. Fees, Fines, Assessments, etc.			\$0
45. Repayments of Loans Made	2		\$0	59. Supplies for Resale			\$0
46. On Behalf of Affiliates for Transmittal to Them			\$0	60. Purchase of Investments and Fixed Assets	4		\$40,408
47. From Members for Disbursement on Their Behalf			\$0	61. Loans Made	2		\$0
48. Other Receipts	14		\$0	62. Repayment of Loans Obtained	9		\$495,794
49. TOTAL RECEIPTS			\$4,826,530	63. To Affiliates of Funds Collected on Their Behalf			\$0
				64. On Behalf of Individual Members			\$0
				65. Direct Taxes			\$137,092
				66. Subtotal			\$5,257,261
				67. Withholding Taxes and Payroll Deductions			
				67a. Total Withheld		\$273,235	
				67b. Less Total Disbursed		\$273,235	
				67c. Total Withheld But Not Disbursed			
				68. TOTAL DISBURSEMENTS			\$5,257,261

Form LM-2 (Revised 2010)

SCHEDULE 1 - ACCOUNTS RECEIVABLE AGING SCHEDULE

FILE NUMBER: 002-713

Entity or Individual Name (A)	Total Account Receivable (B)	90-180 Days Past Due (C)	180+ Days Past Due (D)	Liquidated Account Receivable (E)
Totals from all other accounts receivable	\$0	\$0	\$0	\$0
TOTALS (Column (B) Total will be automatically entered in Item 23, Column (B))	\$0	\$0	\$0	\$0

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SCHEDULE 2 - LOANS RECEIVABLE

FILE NUMBER: 002-713

List below loans to officers, employees, or members which at any time during the reporting period exceeded \$250 and list all loans to business enterprises regardless of amount. (A)	Loans Outstanding at Start of Period (B)	Loans Made During Period (C)	Cash (D)(1)	Other Than Cash (D)(2)	Loans Outstanding at End of Period (E)
Total of loans not listed above					
Total of all lines	\$0	\$0	\$0	\$0	\$0
	Item 24	Item 61	Item 45	Item 69	Item 24

Totals will be automatically entered in...	Column (A)		with Explanation	Column (B)
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SCHEDULE 3 - SALE OF INVESTMENTS AND FIXED ASSETS

FILE NUMBER: 002-713

Description (if land or buildings give location) (A)	Cost (B)	Book Value (C)	Gross Sales Price (D)	Amount Received (E)
WINSLOW, EVANS & CROCKER INVESTMENTS	\$3,017,423	\$3,050,574	\$3,049,148	\$3,049,148
BRINKER CAPITAL SECURITIES INVESTMENTS	\$385,662	\$359,456	\$359,456	\$359,456
Total of all lines	\$3,403,085	\$3,410,030	\$3,408,604	\$3,408,604
			14. Less Reinvestments	\$2,753,753
(Net Sales total will automatically entered in Item 43)			15. Net Sales	\$654,851

Form LM-2 (Revised 2010)

SCHEDULE 4 - PURCHASE OF INVESTMENTS AND FIXED ASSETS

FILE NUMBER: 002-713

Description (if land or buildings, give location) (A)	Cost (B)	Book Value (C)	Cash Paid (D)
PURCHASE OF AUTOMOBILES	\$220,106	\$220,106	\$40,408
PURCHASE OF TELEPHONE SYSTEM	\$28,140	\$28,140	\$0
Total of all lines	\$248,246	\$248,246	\$40,408
			14. Less Reinvestments
(Net Purchases total will automatically entered in Item 60)			15. Net Purchases
			\$40,408

Form LM-2 (Revised 2010)

SCHEDULE 5 - INVESTMENTS

FILE NUMBER: 002-713

Description (A)	Amount (B)
Marketable Securities	
1. Total Cost	\$3,814,367
2. Total Book Value	\$3,953,999
3. List each marketable security which has a book value over \$5000 and exceeds 5% of Line 2. N/A	\$0
Other Investments	
4. Total Cost	\$0
5. Total Book Value	\$0
6. List each other investment which has a book value over \$5,000 and exceeds 5% of Line 5. Also, list each subsidiary for which separate reports are attached. N/A	\$0
7. Total of Lines 2 and 5 (Total will be automatically entered in Item 26, Column(B))	\$3,953,999

Form LM-2 (Revised 2010)

SCHEDULE 6 - FIXED ASSETS

FILE NUMBER: 002-713

Description (A)	Cost or Other Basis (B)	Total Depreciation or Amount Expensed (C)	Book Value (D)	Value (E)
1. 1157 ADAMS STREET, UNIT #2,	\$11,249		\$11,249	\$11,249
3. LEWISTON, ME	\$126,254	\$45,630	\$80,624	\$80,624
3. DORCHESTER, MA	\$3,663,743	\$669,209	\$2,994,534	\$2,994,534
5. Automobiles and Other Vehicles	\$283,598	\$45,854	\$237,744	\$237,744
6. Office Furniture and Equipment	\$210,430	\$161,631	\$48,799	\$48,799
7. Other Fixed Assets	\$41,340	\$41,340	\$0	\$0
8. Totals of Lines 1 through 7 (Column(D) Total will be automatically entered in Item 27, Column(B))	\$4,336,614	\$963,664	\$3,372,950	\$3,372,950

Form LM-2 (Revised 2010)

SCHEDULE 7 - OTHER ASSETS

FILE NUMBER: 002-713

Description (A)	Book Value (B)
DUE FROM AFFILIATES	\$2,273
LOAN ACQUISITION COSTS	\$5,459
Total Other Assets (Total will be automatically entered in Item 28, Column(B))	\$7,732

Form LM-2 (Revised 2010)

SCHEDULE 8 - ACCOUNTS PAYABLE AGING SCHEDULE

FILE NUMBER: 002-713

Entity or Individual Name (A)	Total Account Payable (B)	90-180 Days Past Due (C)	180+ Days Past Due (D)	Liquidated Account (E)
N/A	\$0	\$0	\$0	\$0
Total from all other accounts payable	\$0	\$0	\$0	\$0
Total Accounts Payable (Column(B) Total will be automatically entered in Item 30, Column(D))	\$0	\$0	\$0	\$0

SCHEDULE 9 - LOANS PAYABLE

FILE NUMBER: 002-713

Source of Loans Payable at Any Time During the Reporting Period (A)	Loans Owed at Start of Period (B)	Loans Obtained During Period (C)	Repayment During Period Cash (D)(1)	Repayment During Period Other Than Cash (D)(1)	Loans Owed at End of Period (E)
CITIZENS BANK	\$473,103	\$0	\$473,103	\$0	\$0
FORD CREDIT	\$11,425	\$78,699	\$20,072	\$0	\$70,052
GREAT AMERICAN LEASING	\$0	\$28,140	\$2,619	\$0	\$25,521
Total Loans Payable	\$484,528	\$106,839	\$495,794	\$0	\$95,573
Totals will be automatically entered in...	Item 31 Column (C)	Item 44	Item 62	Item 69 with Explanation	Item 31 Column (D)

Form LM-2 (Revised 2010)

SCHEDULE 10 - OTHER LIABILITIES

FILE NUMBER: 002-713

Description (A)	Amount at End of Period (B)
PAYROLL TAX LIABILITY	\$7,626
Total Other Liabilities (Total will be automatically entered in Item 33, Column(D))	\$7,626

Form LM-2 (Revised 2010)

SCHEDULE 11 - ALL OFFICERS AND DISBURSEMENTS TO OFFICERS

FILE NUMBER: 002-713

(A) Name	(B) Title	(C) Status	(D) Gross Salary Disbursements (before any deductions)	(E) Allowances Disbursed	(F) Disbursements for Official Business	(G) Other Disbursements not reported in (D) thru (F)	(H) TOTAL		
A RUSSELL BARTASH	B BUSINESS AGENT	C C	\$116,669	\$0	\$0	\$0	\$116,669		
I Representational Activities	0 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions	0 %	Schedule 18 General Overhead	0 %	Schedule 19 Administration	100 %
A ROBERT L BUTLER JR.	B BUSINESS AGENT	C C	\$116,769	\$0	\$0	\$0	\$116,769		
I Representational Activities	10 %	Schedule 16 Political Activities and Lobbying	10 %	Schedule 17 Contributions	4 %	Schedule 18 General Overhead	56 %	Schedule 19 Administration	20 %
A EDWARD FOLEY	B BUSINESS AGENT	C C	\$118,486	\$0	\$0	\$0	\$118,486		
I Representational Activities	0 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions	0 %	Schedule 18 General Overhead	0 %	Schedule 19 Administration	100 %
A RICHARD KEOGH	B BUSINESS AGENT	C C	\$116,344	\$0	\$0	\$0	\$116,344		
I Representational Activities	5 %	Schedule 16 Political Activities and Lobbying	2 %	Schedule 17 Contributions	3 %	Schedule 18 General Overhead	50 %	Schedule 19 Administration	40 %
A JOSEPH BERGANTINO	B BUSINESS AGENT	C N	\$98,104	\$0	\$0	\$0	\$98,104		
I Representational Activities	0 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions	0 %	Schedule 18 General Overhead	0 %	Schedule 19 Administration	100 %
A JAMES WOOL	B BUSINESS MANAGER	C P	\$122,792	\$0	\$0	\$0	\$122,792		
I Representational Activities	10 %	Schedule 16 Political Activities and Lobbying	10 %	Schedule 17 Contributions	11 %	Schedule 18 General Overhead	50 %	Schedule 19 Administration	19 %
A NEAL KELLEHER	B DIRECTOR OF LABOR MGMT	C C	\$113,398	\$0	\$0	\$0	\$113,398		
I Representational Activities	100 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions	0 %	Schedule 18 General Overhead	0 %	Schedule 19 Administration	0 %
A MARK CABRAL	B EXECUTIVE BOARD MEMBER	C C	\$1,218	\$0	\$0	\$0	\$1,218		
I Representational Activities	0 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions	0 %	Schedule 18 General Overhead	0 %	Schedule 19 Administration	100 %
A PAUL CASSELL	B EXECUTIVE BOARD MEMBER	C N	\$409	\$0	\$0	\$0	\$409		
I Representational Activities	0 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions	0 %	Schedule 18 General Overhead	0 %	Schedule 19 Administration	100 %

HTML Report (Disclosure)

Activities	and Lobbying	Contributions	General Overhead	Administration
A RICHARD COUGHLIN				
B EXECUTIVE BOARD MEMBER		\$511	\$0	\$0
C				\$511
Schedule 15 Representational Activities	0 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions
			0 %	Schedule 18 General Overhead
				0 %
				Schedule 19 Administration
				100 %
A DARREN DIGRAVIO				
B EXECUTIVE BOARD MEMBER		\$409	\$0	\$0
C				\$409
Schedule 15 Representational Activities	0 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions
			0 %	Schedule 18 General Overhead
				0 %
				Schedule 19 Administration
				100 %
A JOHN GOODE				
B EXECUTIVE BOARD MEMBER		\$409	\$0	\$0
C N				\$409
Schedule 15 Representational Activities	0 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions
			0 %	Schedule 18 General Overhead
				0 %
				Schedule 19 Administration
				100 %
A RONALD A HANSON				
B EXECUTIVE BOARD MEMBER		\$1,216	\$0	\$0
C				\$1,216
Schedule 15 Representational Activities	0 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions
			0 %	Schedule 18 General Overhead
				0 %
				Schedule 19 Administration
				100 %
A ROBERT O'BRIEN				
B EXECUTIVE BOARD MEMBER		\$409	\$0	\$0
C N				\$409
Schedule 15 Representational Activities	0 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions
			0 %	Schedule 18 General Overhead
				0 %
				Schedule 19 Administration
				100 %
A PAT DONLAN				
B EXECUTIVE BOARD MEMBER		\$806	\$0	\$0
C				\$806
Schedule 15 Representational Activities	0 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions
			0 %	Schedule 18 General Overhead
				0 %
				Schedule 19 Administration
				100 %
A CHRISTOPHER F JOYCE				
B EXECUTIVE BOARD MEMBER		\$602	\$0	\$0
C				\$602
Schedule 15 Representational Activities	0 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions
			0 %	Schedule 18 General Overhead
				0 %
				Schedule 19 Administration
				100 %
A JOHN D MARTIN				
B BUSINESS AGENT/E-BOARD		\$31,422	\$0	\$0
C				\$31,422
Schedule 15 Representational Activities	0 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions
			0 %	Schedule 18 General Overhead
				0 %
				Schedule 19 Administration
				100 %
A SCOTT CLARKE				
B EXECUTIVE BOARD MEMBER		\$1,521	\$0	\$0
C N				\$1,521
Schedule 15 Representational Activities	0 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions
			0 %	Schedule 18 General Overhead
				0 %
				Schedule 19 Administration
				100 %
A SEAN M LECLAIRE				
B EXECUTIVE BOARD MEMBER		\$1,723	\$0	\$0
C				\$0