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FORM LM-2 LABOR ORGANIZATION ANNUAL REPORT

U.S. Department of Labor
Office of Labor-Management Standards
Washington, DC 20210

Form Approved
Office of Management and Budget
No. 1245-0003
Expires: 10-31-2013

MUST BE USED BY LABOR ORGANIZATIONS WITH \$250,000 OR MORE IN TOTAL ANNUAL RECEIPTS AND LABOR ORGANIZATIONS IN TRUSTEESHIP

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 439 or 440.

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.			
For Official Use Only	1. FILE NUMBER 002-279	2. PERIOD COVERED From 01/01/2011 Through 12/31/2011	3. (a) AMENDED - Is this an amended report: No (b) HARDSHIP - Filed under the hardship procedures: No (c) TERMINAL - This is a terminal report: No
4. AFFILIATION OR ORGANIZATION NAME SHEET METAL WORKERS AFL-CIO		8. MAILING ADDRESS (Type or print in capital letters)	
5. DESIGNATION (Local, Lodge, etc.) LOCAL UNION		6. DESIGNATION NBR 63	
7. UNIT NAME (if any)		P.O Box - Building and Room Number	
9. Are your organization's records kept at its mailing address? Yes		Number and Street 32 STEVENS STREET	
		City SPRINGFIELD	
		State MA	
		ZIP Code + 4 01104	

Each of the undersigned, duly authorized officers of the above labor organization, declares, under penalty of perjury and other applicable penalties of law, that all of the information submitted in this report (including information contained in any accompanying documents) has been examined by the signatory and is, to the best of the undersigned individual's knowledge and belief, true, correct and complete (See Section V on penalties in the instructions.)

26. SIGNED: Andrew E Sliwa PRESIDENT 27. SIGNED: Michael J LaFleur TREASURER
Date: Mar 26, 2012 Contact Info: 413-733-8332 Date: Mar 26, 2012 Contact Info: 413-733-8332

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ITEMS 10 THROUGH 21

FILE NUMBER: 002-279

10. During the reporting period did the labor organization create or participate in the administration of a trust or a fund or organization, as defined in the instructions, which provides benefits for members or beneficiaries? No

11(a). During the reporting period did the labor organization have a political action committee (PAC) fund? No

11(b). During the reporting period did the labor organization have a subsidiary organization as defined in Section X of these Instructions? No

12. During the reporting period did the labor organization have an audit or review of its books and records by an outside accountant or by a parent body auditor/representative? Yes

13. During the reporting period did the labor organization discover any loss or shortage of funds or other assets? (Answer "Yes" even if there has been repayment or recovery.) No

14. What is the maximum amount recoverable under the labor organization's fidelity bond for a loss caused by any officer, employee or agent of the labor organization who handled union funds? \$250,000

15. During the reporting period did the labor organization acquire or dispose of any assets in a manner other than purchase or sale? No

16. Were any of the labor organization's assets pledged as security or encumbered in any way at the end of the reporting period? No

17. Did the labor organization have any contingent liabilities at the end of the reporting period? No

18. During the reporting period did the labor organization have any changes in its constitution or bylaws, other than rates of dues and fees, or in practices/procedures listed in the instructions? No

19. What is the date of the labor organization's next regular election of officers? 06/2014

20. How many members did the labor organization have at the end of the reporting period? 614

21. What are the labor organization's rates of dues and fees?

Rates of Dues and Fees					
Dues/Fees	Amount	Unit	Minimum	Maximum	
(a) Regular Dues/Fees	36.50	per MONTH	16.00	36.50	
(b) Working Dues/Fees		per			
(c) Initiation Fees	500/150	per MAVT			
(d) Transfer Fees	1	per			
(e) Work Permits		per			

STATEMENT A - ASSETS AND LIABILITIES

FILE NUMBER: 002-279

ASSETS

ASSETS	Schedule Number	Start of Reporting Period (A)	End of Reporting Period (B)
22. Cash		\$200,950	\$373,398
23. Accounts Receivable	1		\$0
24. Loans Receivable	2		\$0
25. U.S. Treasury Securities		\$0	\$0
26. Investments	5		\$0
27. Fixed Assets	6	\$34,758	\$42,606
28. Other Assets	7		\$0
29. TOTAL ASSETS		\$235,708	\$416,004

LIABILITIES

LIABILITIES	Schedule Number	Start of Reporting Period (A)	End of Reporting Period (B)
30. Accounts Payable	8		\$0
31. Loans Payable	9		\$0
32. Mortgages Payable		\$0	\$0
33. Other Liabilities	10	\$10,978	\$0
34. TOTAL LIABILITIES		\$10,978	\$0

35. NET ASSETS		\$224,730	\$416,004
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STATEMENT B - RECEIPTS AND DISBURSEMENTS

FILE NUMBER: 002-279

CASH RECEIPTS		SCH	AMOUNT	CASH DISBURSEMENTS		SCH	AMOUNT
36. Dues and Agency Fees			\$964,199	50. Representational Activities	15		\$199,506
37. Per Capita Tax			\$0	51. Political Activities and Lobbying	16		\$0
38. Fees, Fines, Assessments, Work Permits			\$0	52. Contributions, Gifts, and Grants	17		\$9,777
39. Sale of Supplies			\$0	53. General Overhead	18		\$84,664
40. Interest			\$629	54. Union Administration	19		\$132,117
41. Dividends			\$0	55. Benefits	20		\$215,372
42. Rents			\$0	56. Per Capita Tax			\$203,734
43. Sale of Investments and Fixed Assets	3		\$0	57. Strike Benefits			\$0
44. Loans Obtained	9		\$0	58. Fees, Fines, Assessments, etc.			\$0
45. Repayments of Loans Made	2		\$0	59. Supplies for Resale			\$0
46. On Behalf of Affiliates for Transmittal to Them			\$0	60. Purchase of Investments and Fixed Assets	4		\$22,668
47. From Members for Disbursement on Their Behalf			\$0	61. Loans Made	2		\$0
48. Other Receipts	14		\$102,299	62. Repayment of Loans Obtained	9		\$0
49. TOTAL RECEIPTS			\$1,067,127	63. To Affiliates of Funds Collected on Their Behalf			\$0
				64. On Behalf of Individual Members			\$0
				65. Direct Taxes			\$0
				66. Subtotal			\$867,838
				67. Withholding Taxes and Payroll Deductions			
				67a. Total Withheld		\$65,026	
				67b. Less Total Disbursed		\$91,867	
				67c. Total Withheld But Not Disbursed			-\$26,841
				68. TOTAL DISBURSEMENTS			\$894,679

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SCHEDULE 1 - ACCOUNTS RECEIVABLE AGING SCHEDULE

FILE NUMBER: 002-279

Entity or Individual Name (A)	Total Account Receivable (B)	90-180 Days Past Due (C)	180+ Days Past Due (D)	Liquidated Account Receivable (E)
Totals from all other accounts receivable				
TOTALS (Column (B) Total will be automatically entered in Item 23, Column (B))	\$0	\$0	\$0	\$0

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SCHEDULE 2 - LOANS RECEIVABLE

FILE NUMBER: 002-279

List below loans to officers, employees, or members which at any time during the reporting period exceeded \$250 and list all loans to business enterprises regardless of amount. (A)	Loans Outstanding at Start of Period (B)	Loans Made During Period (C)	Cash (D)(1)	Other Than Cash (D)(2)	Loans Outstanding at End of Period (E)
Total of loans not listed above					
Total of all lines	\$0	\$0	\$0	\$0	\$0
	Item 24	Item 61	Item 45	Item 69	Item 24

Totals will be automatically entered in...	Column (A)	with Explanation	Column (B)
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SCHEDULE 3 - SALE OF INVESTMENTS AND FIXED ASSETS

FILE NUMBER: 002-279

Description (if land or buildings give location) (A)	Cost (B)	Book Value (C)	Gross Sales Price (D)	Amount Received (E)
Total of all lines	\$0	\$0	\$0	\$0
			14. Less Reinvestments	\$0
(Net Sales total will automatically entered in Item 43)			15. Net Sales	\$0

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SCHEDULE 4 - PURCHASE OF INVESTMENTS AND FIXED ASSETS

FILE NUMBER: 002-279

Description (if land or buildings, give location) (A)	Cost (B)	Book Value (C)	Cash Paid (D)
MOTOR VEHICLE - TRADED IN OTHER VEHICLE	\$16,901	\$16,901	\$16,901
TELEPHONE SYSTEM	\$5,767	\$5,767	\$5,767
Total of all lines	\$22,668	\$22,668	\$22,668
			14. Less Reinvestments
(Net Purchases total will automatically entered in Item 60)			15. Net Purchases
			\$22,668

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SCHEDULE 5 - INVESTMENTS

FILE NUMBER: 002-279

Description (A)	Amount (B)
Marketable Securities	
1. Total Cost	
2. Total Book Value	
3. List each marketable security which has a book value over \$5000 and exceeds 5% of Line 2.	
Other Investments	
4. Total Cost	
5. Total Book Value	
6. List each other investment which has a book value over \$5,000 and exceeds 5% of Line 5. Also, list each subsidiary for which separate reports are attached.	
7. Total of Lines 2 and 5 (Total will be automatically entered in Item 26, Column(B))	\$0

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SCHEDULE 6 - FIXED ASSETS

FILE NUMBER: 002-279

Description (A)	Cost or Other Basis (B)	Total Depreciation or Amount Expensed (C)	Book Value (D)	Value (E)
1. Land (give location)	\$0		\$0	\$0
3. Buildings (give location)	\$0	\$0	\$0	\$0
5. Automobiles and Other Vehicles	\$53,410	\$25,480	\$27,930	\$27,930
6. Office Furniture and Equipment	\$45,099	\$37,531	\$7,568	\$7,568
7. Other Fixed Assets	\$10,155	\$3,047	\$7,108	\$7,108
8. Totals of Lines 1 through 7 (Column(D) Total will be automatically entered in Item 27, Column(B))	\$108,664	\$66,058	\$42,606	\$42,606

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SCHEDULE 7 - OTHER ASSETS

FILE NUMBER: 002-279

Description (A)	Book Value (B)
Total Other Assets (Total will be automatically entered in Item 28, Column(B))	\$0

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SCHEDULE 8 - ACCOUNTS PAYABLE AGING SCHEDULE

FILE NUMBER: 002-279

Entity or Individual Name (A)	Total Account Payable (B)	90-180 Days Past Due (C)	180+ Days Past Due (D)	Liquidated Account (E)
Total from all other accounts payable	\$0	\$0	\$0	\$0
Total Accounts Payable (Column(B) Total will be automatically entered in Item 30, Column(D))	\$0	\$0	\$0	\$0

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SCHEDULE 9 - LOANS PAYABLE

FILE NUMBER: 002-279

Source of Loans Payable at Any Time During the Reporting Period (A)	Loans Owed at Start of Period (B)	Loans Obtained During Period (C)	Repayment During Period Cash (D)(1)	Repayment During Period Other Than Cash (D)(1)	Loans Owed at End of Period (E)
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Total Loans Payable	\$0	\$0	\$0	\$0	\$0
Totals will be automatically entered in...	Item 31 Column (C)	Item 44	Item 62	Item 69 with Explanation	Item 31 Column (D)

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SCHEDULE 10 - OTHER LIABILITIES

FILE NUMBER: 002-279

Description (A)	Amount at End of Period (B)
Total Other Liabilities (Total will be automatically entered in Item 33, Column(D))	\$0

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SCHEDULE 11 - ALL OFFICERS AND DISBURSEMENTS TO OFFICERS

FILE NUMBER: 002-279

(A) Name	(B) Title	(C) Status	(D) Gross Salary Disbursements (before any deductions)	(E) Allowances Disbursed	(F) Disbursements for Official Business	(G) Other Disbursements not reported in (D) thru (F)	(H) TOTAL		
A MARK W ANDREWS B BUSINESS REPRESENTATIVE C C			\$76,635		\$1,388		\$78,023		
Schedule 15 I Representational Activities	90 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions	0 %	Schedule 18 General Overhead	0 %	Schedule 19 Administration	10 %
A MICHAEL J LAFLEUR B BUSINESS MANAGER C C			\$83,927		\$1,961		\$85,888		
Schedule 15 I Representational Activities	80 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions	0 %	Schedule 18 General Overhead	0 %	Schedule 19 Administration	20 %
A ROBERT P WHITE B MARKETING REPRESENTATIVE C C			\$67,080		\$225		\$67,305		
Schedule 15 I Representational Activities	90 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions	0 %	Schedule 18 General Overhead	0 %	Schedule 19 Administration	10 %
A JOHN J SCAVOTTO, JR. B RECORDING SECRETARY C C			\$1,563		\$100		\$1,663		
Schedule 15 I Representational Activities	0 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions	0 %	Schedule 18 General Overhead	0 %	Schedule 19 Administration	100 %
A ANDREW E SLIWA B PRESIDENT C C			\$225		\$50		\$275		
Schedule 15 I Representational Activities	0 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions	0 %	Schedule 18 General Overhead	0 %	Schedule 19 Administration	100 %
A JOHN SCAMMON B VICE PRESIDENT C C			\$975		\$50		\$1,025		
Schedule 15 I Representational Activities	0 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions	0 %	Schedule 18 General Overhead	0 %	Schedule 19 Administration	100 %
Total Officer Disbursements			\$230,405	\$0	\$3,774	\$0	\$234,179		
Less Deductions									
Net Disbursements							\$234,179		

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SCHEDULE 12 - DISBURSEMENTS TO EMPLOYEES

FILE NUMBER: 002-279

(A) Name	(B) Title	(C) Other Payer	(D) Gross Salary Disbursements (before any deductions)	(E) Allowances Disbursed	(F) Disbursements for Official Business	(G) Other Disbursements not reported in (D) thru (F)	(H) TOTAL		
A CAROL A GRANDMONT B ADMINISTRATIVE C C			\$39,270	\$0	\$0	\$0	\$39,270		
Schedule 15 I Representational Activities	0 %	Schedule 16 Political Activities and Lobbying	0 %	Schedule 17 Contributions	0 %	Schedule 18 General Overhead	0 %	Schedule 19 Administration	100 %
TOTALS RECEIVED BY EMPLOYEES MAKING LESS THAN \$10000							\$0		
Schedule 15 I Representational Activities		Schedule 16 Political Activities and Lobbying		Schedule 17 Contributions		Schedule 18 General Overhead		Schedule 19 Administration	
Total Employee Disbursements			\$39,270	\$0	\$0	\$0	\$39,270		
Less Deductions									
Net Disbursements							\$39,270		

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SCHEDULE 13 - MEMBERSHIP STATUS

FILE NUMBER: 002-279

SCHEDULE 13 - MEMBERSHIP STATUS

Category of Membership (A)	Number (B)	Voting Eligibility (C)
JOURNEYMAN	322	Yes
APPRENTICES	63	Yes
CLASSIFIED	109	Yes
RETIREES	120	Yes
Members	614	
Agency Fee Payers*		
Total Members/Fee Payers	614	

*Agency Fee Payers are not considered members of the labor organization.
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DETAILED SUMMARY PAGE - SCHEDULES 14 THROUGH 19

FILE NUMBER: 002-279

SCHEDULE 14 OTHER RECEIPTS	
1. Named Payer Itemized Receipts	\$100,781
2. Named Payer Non-itemized Receipts	\$0
3. All Other Receipts	\$1,518
4. Total Receipts	\$102,299

SCHEDULE 17 CONTRIBUTIONS, GIFTS & GRANTS	
1. Named Payee Itemized Disbursements	\$0
2. Named Payee Non-itemized Disbursements	\$0
3. To Officers	\$0
4. To Employees	\$0
5. All Other Disbursements	\$9,777
6. Total Disbursements	\$9,777

SCHEDULE 15 REPRESENTATIONAL ACTIVITIES	
1. Named Payee Itemized Disbursements	\$0
2. Named Payee Non-itemized Disbursements	\$0
3. To Officers	\$199,506
4. To Employees	\$0
5. All Other Disbursements	
6. Total Disbursements	\$199,506

SCHEDULE 18 GENERAL OVERHEAD	
1. Named Payee Itemized Disbursements	\$5,975
2. Named Payee Non-itemized Disbursements	\$7,853
3. To Officers	\$0
4. To Employees	\$0
5. All Other Disbursements	\$70,836
6. Total Disbursements	\$84,664

SCHEDULE 16 POLITICAL ACTIVITIES AND LOBBYING	
1. Named Payee Itemized Disbursements	\$0
2. Named Payee Non-itemized Disbursements	\$0
3. To Officers	\$0
4. To Employees	\$0
5. All Other Disbursements	
6. Total Disbursement	\$0

SCHEDULE 19 UNION ADMINISTRATION	
1. Named Payee Itemized Disbursements	\$0
2. Named Payee Non-itemized Disbursements	\$0
3. To Officers	\$34,674
4. To Employees	\$39,270
5. All Other Disbursements	\$58,173
6. Total Disbursements	\$132,117

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SCHEDULE 14 - OTHER RECEIPTS

FILE NUMBER: 002-279

Name and Address (A)	Purpose (C)	Date (D)	Amount (E)
SMW INTERNATIONAL ASSOC. 1750 NEW YORK AVENUE NW WASHINGTON DC 20006	ORGANIZER	01/11/2011	\$4,669
	ORGANIZER	02/08/2011	\$4,669
	ORGANIZER	03/11/2011	\$4,669
	ORGANIZER	04/15/2011	\$4,669
	ORGANIZER	05/03/2011	\$4,669
	ORGANIZER	06/01/2011	\$4,669
	ORGANIZER	07/07/2011	\$4,669
	ORGANIZER	08/02/2011	\$4,669
	ORGANIZER	09/08/2011	\$4,669
	ORGANIZER	10/18/2011	\$4,669
Type or Classification (B) SUBSIDY	ORGANIZER	11/01/2011	\$4,669
	ORGANIZER	12/28/2011	\$4,669
	Total Itemized Transactions		\$56,028
	Total Non-Itemized Transactions		
	Total of All Transactions		\$56,028
SMW LABOR MANAGEMENT 32 STEVENS STREET SPRINGFIELD MA 01104	Purpose (C)	Date (D)	Amount (E)
	SUBSIDY	01/06/2011	\$22,127
	SUBSIDY	07/20/2011	\$22,626
	Total Itemized Transactions		\$44,753
	Total Non-Itemized Transactions		
Type or Classification (B) SUBSIDY	Total of All Transactions		\$44,753

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SCHEDULE 15 - REPRESENTATIONAL ACTIVITIES

FILE NUMBER: 002-279

There was no data found for this schedule.

SCHEDULE 16 - POLITICAL ACTIVITIES AND LOBBYING

FILE NUMBER 002-279

There was no data found for this schedule.

SCHEDULE 17 - CONTRIBUTIONS, GIFTS & GRANTS

FILE NUMBER: 002-279

There was no data found for this schedule.

SCHEDULE 18 - GENERAL OVERHEAD

FILE NUMBER: 002-279

Name and Address (A)	Purpose (C)	Date (D)	Amount (E)
NORFOLK & DEDHAM 4104			
WOBURN MA 01888	Total Itemized Transactions		
	Total Non-Itemized Transactions		\$7,853
	Total of All Transactions		\$7,853
Type or Classification (B)			
VEHICLE INSURANCE			
Name and Address (A)	Purpose (C)	Date (D)	Amount (E)
SOCHA & GRZELAK, P.C. 924 MAIN STREET SPRINGFIELD MA 01103	ACCOUNTING/AUDIT	02/17/2011	\$2,190
	ACCOUNTING/AUDIT	05/18/2011	\$335
	ACCOUNTING/AUDIT	07/21/2011	\$3,000
	ACCOUNTING(QTRLY RPT)	08/04/2011	\$225
	ACCOUNTING(QTRLY RPT)	10/18/2011	\$225
	Total Itemized Transactions		\$5,975
	Total Non-Itemized Transactions		
	Total of All Transactions		\$5,975
Type or Classification (B)			
ACCOUNTING/AUDIT			

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SCHEDULE 19 - UNION ADMINISTRATION

FILE NUMBER: 002-279

There was no data found for this schedule.

SCHEDULE 20 - BENEFITS

FILE NUMBER: 002-279

Description (A)	To Whom Paid (B)	Amount (C)
NATIONAL PENSION AND COLA FUNDS	SMW NATIONAL PENSION FUND	\$66,187
SMW ANNUITY	LOCAL 63 ANNUITY FUND	\$33,115
SMW HEALTH AND WELFARE	LOCAL 63 HEALTH AND WELFARE FUND	\$70,245
LOCAL PENSION	LOCAL UNIONS & COUNCILS PENSION	\$45,825
Total Benefits		\$215,372

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69. ADDITIONAL INFORMATION SUMMARY

FILE NUMBER: 002-279

Question 12: AUDITED BY INDEPENDENT CPA FIRM - SOCHA & GRZELAK, P.C.

Schedule 13, Row1: JOURNEYMAN - FULL DUES

Schedule 13, Row2: APPRENTICES 1/2 DUES

Schedule 13, Row3: CLASSIFIED 71% DUES

Schedule 13, Row4: RETIREES \$16.00 PER MONTH

Statement A,

Accounts Receivable Begin Total: No Change

General Information:
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